UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO.   | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-------------|----------------------|---------------------|------------------|
| 10/663,777  | 09/17/2003  | Ricardo Gamboa       |                     | 2957             |
| 7590 08/08/2007<br>RICARDO GAMBOA<br>Calle 8 No 823 Entre 523 Bis Y 524 |             |                      | EXAMINER            |                  |
|   |             |                      | · ISABELLA, DAVID J |                  |
| TOLOSA, 1900<br>ARGENTINA   | )           | ·                    | ART UNIT            | PAPER NUMBER     |
|   |             |                      | 3738                |                  |
| ·   |             |                      | [                   |                  |
|   |             |                      | MAIL DATE           | DELIVERY MODE    |
|   |             |                      | 08/08/2007          | PAPER            |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

|  | Application No.  | Applicant(s)  |
|--|--|---|
|  | 10/663,777   | GAMBOA, RICARDO   |
| Office Action Summary  | Examiner   | Art Unit  |
| ·  | DAVID J. ISABELLA  | 3738  |
| The MAILING DATE of this communication Period for Reply  | appears on the cover sheet with  | th the correspondence address   |
| A SHORTENED STATUTORY PERIOD FOR RIWHICHEVER IS LONGER, FROM THE MAILIN  - Extensions of time may be available under the provisions of 37 CF after SIX (6) MONTHS from the mailing date of this communicatio  - If NO period for reply is specified above, the maximum statutory properties of the provision of the prov | G DATE OF THIS COMMUNIC<br>FR 1.136(a). In no event, however, may a re<br>n.<br>eriod will apply and will expire SIX (6) MON<br>statute, cause the application to become AB. | CATION.  Poly be timely filed  THS from the mailing date of this communication.  ANDONED (35 U.S.C. § 133). |
| Status   |  |   |
| 1) Responsive to communication(s) filed on 3   | <u>80 May 2007</u> .   |   |
| 2a) ☐ This action is <b>FINAL</b> . 2b) ☑  | This action is non-final.  |   |
| 3) Since this application is in condition for all  | owance except for formal matte   | ers, prosecution as to the merits is  |
| closed in accordance with the practice und   | ler <i>Ex parte Quayle</i> , 1935 C.D.   | . 11, 453 O.G. 213.   |
| Disposition of Claims  |  |   |
| 4)⊠ Claim(s) <u>1-7</u> is/are pending in the applicati  | on.  |   |
| 4a) Of the above claim(s) is/are with  |  |   |
| 5) Claim(s) is/are allowed.  | •  |   |
| 6)⊠ Claim(s) <u>1-7</u> is/are rejected.   |  |   |
| 7) Claim(s) is/are objected to.  |  |   |
| 8) Claim(s) are subject to restriction a   | nd/or election requirement.  |   |
| Application Papers   |  |   |
| 9)☐ The specification is objected to by the Exar   | miner.   |   |
| 10)⊠ The drawing(s) filed on <u>30 May 2007</u> is/are   | : a)⊠ accepted or b)□ object   | ted to by the Examiner.   |
| Applicant may not request that any objection to  | the drawing(s) be held in abeyand  | ce. See 37 CFR 1.85(a).   |
| Replacement drawing sheet(s) including the co  |  |   |
| 11) The oath or declaration is objected to by the  | e Examiner. Note the attached  | Office Action or form PTO-152.  |
| Priority under 35 U.S.C. § 119   |  |   |
| 12) Acknowledgment is made of a claim for formal a) All b) Some * c) None of:  | eign priority under 35 U.S.C. §  | 119(a)-(d) or (f).  |
| <ol> <li>Certified copies of the priority document</li> </ol>  | nents have been received.  |   |
| 2. Certified copies of the priority docum  | •  | •   |
| 3. Copies of the certified copies of the   |  | received in this National Stage   |
| application from the International Bu  | ,  |   |
| * See the attached detailed Office action for a  | list of the certified copies not f   | received.   |
| Attachment(s)  | 🗖  |   |
| <ul> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> </ul>   |  | ummary (PTO-413)<br>)/Mail Date   |
| Information Disclosure Statement(s) (PTO-1449 or PTO/SE Paper No(s)/Mail Date  | <i>'</i>   | formal Patent Application (PTO-152)   |

Receipt is acknowledged of a request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e) and a submission, filed on 5/30/2007. The submission is fully responsive to the prior Office action and has been entered.

## Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-7 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 1 as worded is indefinite, as there are some languages that obfuscate the meaning of the claim. For examples only:

Recitation of "second upper section both centered on and extending a common warped axis, the first section being a tubular mesh covered at least in some parts by an impermeable polymer with a curvature between 35-45° and having" is unclear as to its meaning. The recitation would be better worded as -- both centered on and extending along a common warped axis having a radius of curvature between 35-45 degrees, the first section being a tubular mesh covered at least in part by an impermeable polymer--.

Recitation of "branches one of which is longer than the other, extends along the warped axis, and is of substantially circular and uniform cross- sectional shape, the

other branch being formed with a short" would be better worded as --longer than the other, the longer branch extends along the warped axis--.

Recitation of "with a diameter between 10-13 mm, the branches forming with the conduit a distorted "Y"each branch having a mesh of thread partially covered by an impermeable polymeric material and being" would be better worded as --forming with the first lower portion a distorted "Y", each brand having a mesh at least in part covered with an impermeable polymeric material--.

Recitation of "the short branch having a wall that intercepts between 50%-70% of blood flowing up through the tubular conduit from its lower end, the lower end being constructed for connection with a lower vena cava and a hepatic vena with the upper and lower sections of the tubular conduit lodged inside the right atrium, one branch being tightly lodged inside a left pulmonary artery and forming an obstruction with regard to a main pulmonary artery, the other branch being lodged at a base of a right pulmonary artery" would be better worded as —with the upper and lower sections of the tubular conduit configured to be lodged inside the right atrium, one branch sized to be tightly lodged inside a left pulmonary artery ... the other branch configured to be lodged at a base of a right pulmonary artery--.

Claim 3 is indefinite. The claim should be reworded as follows:

The fenestrated asymmetric intracardiac device according to claim I, characterized in that wherein the first lower section [[,]] has a mesh part that is independent of the second upper section and the first section is axially deployable

Application/Control Number: 10/663,777

Art Unit: 3738

,

within the second section, whereby the first section can be telescoped to variable length.

Claim 5 is indefinite. The claim should be reworded as follows:

The fenestrated asymmetric intracardiac device according to claim 1, characterized in that wherein the mesh structure of the lower end of the first section is free of the impermeable polymeric material rendering it permeable to blood flow from a lower vena cava and hepatic vena.

Claim 7 is indefinite. The claim should be reworded as follows:

The fenestrated asymmetric intracardiac device according to claim I, characterized in that wherein the mesh is linked metallic threads forming an elastically deformable conduit and wherein the impermeable polymeric material is polytetrafluoroethylene.

## Allowable Subject Matter

Claims 1-7 would be allowable if rewritten or amended to overcome the rejection(s) under 35 U.S.C. 112, 2nd paragraph, set forth in this Office action.

The following is a statement of reasons for the indication of allowable subject matter: the prior art fails to disclose and/or teach in combination, an asymmetric intracardiac device for total cavopulmonary anastomosis wherein the device comprises a bifurcated tubular conduit formed by a first lower section and a second upper section

Application/Control Number: 10/663,777

Art Unit: 3738

both centered on and extending along a common warped axis having a radius of curvature between 35-45°.

The conduit having a lower end of substantially circular cross-sectional shape with a diameter between 16-20 mm and an upper end having a progressively flattened and a substantially oval cross-sectional shape, the second upper section having a cross-sectional shape that is oval and tapers upward to a diameter of between 10-13 mm, the second section bifurcating upward into two branches one of which is longer than the other, extends along the warped axis, and is of substantially circular and uniform cross- sectional shape, the other branch being formed with a short laterally projecting extension of circular cross-sectional shape with a diameter between 10-13 mm, the branches forming with the conduit of a distorted "Y", the conduit being between 60-75 mm long overall, the one branch being between 18-25 mm long, and the other branch being between 4-8 mm long, the short branch having a wall that intercepts between 50%-70% of blood flowing up through the tubular conduit from the vena cava.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DAVID J. ISABELLA whose telephone number is 571-272-4749. The examiner can normally be reached on MONDAY-FRIDAY.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, CORRINE MCDERMOTT can be reached on 571-272-4754. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 3738

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

> DAVID XJSABELLA Primary Examiner Art Unit 3738

DJI 7/27/2007